GLOBAL Ecosystem Dynamics Investigation (GEDI) Level 2 User Guide

For SDPS PGEVersion 3 (P003) of GEDI L2A Data and SDPS PGEVersion 3 (P003) of GEDI L2B Data

Version 2.0

April 2021

Science Team

Principal Investigator: Ralph Dubayah[1]

Deputy Principal Investigator and Instrument Scientist: J. Bryan Blair[2]

User Guide written by Jared Beck[3] in collaboration with Bradford Wirt[3], John Armston[1], Michelle Hofton[1], Scott Luthcke[2] and Hao Tang[1].

[1] Department of Geographical Sciences, University of Maryland College Park, MD 20742.

[2] Code 61A, NASA Goddard Space Flight Center, Greenbelt, MD 20771.

[3] KBR, Inc., contractor to the U.S. Geological Survey, Earth Resources Observation and Science (EROS) Center, Sioux Falls, South Dakota, USA. Work performed under USGS contract G15PC00012 for LP DAAC[4].

[4] LP DAAC work performed under NASA contract NNG14HH33I.

Document History

Document Version	Publication Date	Description
1.0	January 2020	Original for L2A P001 L2B P001
2.0	April 2021	Update for GEDI V2 Release

Tables of Contents

List of Tables

1   <u>Dataset Overview</u>

The overall goal of the Global Ecosystem Dynamics Investigation (<u>GEDI</u>) mission is to advance our ability to characterize the effects of changing climate and land use on ecosystem structure and dynamics. The GEDI instrument produces high-resolution laser ranging observations of the 3-dimensional structure of the Earth. GEDI is attached to the International Space Station (ISS) and collects data globally between 51.6º N and 51.6º S latitudes. GEDI measurements of forest canopy height, canopy vertical structure, and surface elevation are used to characterize important carbon and water cycling processes, biodiversity, and habitat. The GEDI data also may be used in far ranging applications such as weather forecasting, forest management, snow and glacier monitoring, and digital elevation models. GEDI is led by the University of Maryland in collaboration with NASA Goddard Space Flight Center. GEDI science data algorithms and products are created by the GEDI Science Team.

This user's guide provides information about the Version 2 L2A ground elevation, canopy top height, and relative height metrics and L2B Canopy Cover Fraction (CCF) profile, Leaf Area Index (LAI) and LAI profile products. These products are provided in HDF5 file format.

1.1   Background

The GEDI instrument consists of 3 lasers that are split and beam dithered resulting in a total of 8 beam ground transects that are spaced 600 meters apart on the Earth's surface in the cross-track direction. Each beam consists of ~25 m footprint samples approximately spaced every 60 m along track. Captured in real time by the GEDI instrument are the shapes of the transmitted and reflected laser waveforms, enabling a precise range to the reflecting surface to be calculated for every shot (after accounting for the speed of light). The laser receive waveform represents the vertical distribution of intercepted surfaces as a function of range. Over non-complex, flat terrain, the laser receive waveform shape will look similar to the shape of the outgoing (transmitted) laser pulse. Over complex or sloped terrain, photons may be reflected from multiple surfaces within the footprint resulting in a receive waveform with multiple modes. Interpretation of the laser pulse in post-processing enables precise information on surface elevation, structure, and relative canopy heights to be derived. The L1B geolocated waveform product contains precise geolocation information for the first and last sample bins of each GEDI laser return waveform. The L2 geolocated elevation and height products contain precise geolocation information for each reflecting surface within the receive waveform.

The GEDI Level 2 products contain information derived from the geolocated GEDI return waveforms. The GEDI02_A product includes ground elevation, canopy top

height, and relative return energy metrics, and the GEDI02_B product provides biophysical metrics such as canopy cover and plant area index (PAI).

For a detailed description of the satellite and reference data, processing schemes, approaches, methods, and other information, refer to the following algorithm theoretical basis documents (ATBDs) and the GEDI mission website.

ATBD for GEDI Waveform Geolocation for L1 and L2 Products, Luthcke et al.

ATBD for GEDI Transmit and Receive Waveform Processing for L1 and L2 Products, Hofton et al.

ATBD for GEDI L2B Footprint Canopy Cover and Vertical Profile Metrics, Tang et al.

1.2 Important Product Notes for Version 2 L2

The L2 products contain processing results for all waveforms where a valid surface return exists. Both the L2A and L2B products provide a preliminary set of quality flags and metrics that the user can use to filter shots with poor geolocation performance, waveforms of poor signal quality, and waveforms affected by cloud and other land surface conditions. See Section 6 for more details.

The L2A and L2B products also provide waveform processing results for multiple algorithm settings. Results for a predicted optimum algorithm selection are provided in the root directory of the data product for each beam. In some cases, the selection of an alternative algorithm setting will provide a better result. See Section 6 for instructions on how to extract results for alternative algorithm settings from the L2A and L2B data files.

GEDI Version 2 data product improvements include improved geolocation accuracy, updates to the metadata to provide spatial coordinates that allow querying in NASA Earthdata Search, and a reduction in granule size from one full ISS orbit to four segments per orbit. A full list of changes and filename convention details are provided in Section 7 of this document. Note that the shot_number has been changed to include the segment number. The Version 2 filenames have been updated to include segment number and version number. The filename convention details are provided in Section 2.4 of this document.

2   Dataset Characteristics

Global Ecosystem Dynamics Investigation elevation and height metrics data product (GEDI02_A) and the canopy cover and vertical profile data product (GEDI02_B) collection, granule, and dataset characteristics are described below.

2.1 GEDI Level 2A Elevation and Height Metrics Data Global Footprint Level P003

2.1.1 Collection Level (GEDI02_A)

Table 1. Collection Level Data

Characteristic	Description
Collection	GEDI
Short name	GEDI02_A
DOI	10.5067/GEDI/GEDI02_A.002
Temporal Resolution	Varies
Temporal Extent	2019-04-18 – Present
Spatial Extent	Global (51.6 °S to 51.6 °N)
Coordinate System	Geographic (lat/lon)
Datum	WGS84
Geographic Dimensions	4.2 km across-track by one fourth of an ISS orbit along-track
File size	~2 GB
File Format	HDF5

2.1.2 Granule Level (GEDI02_A)

Table 2. Granule Level Data

Characteristic	Description
Number of Science Dataset (SDS) Layers	765 per beam
Columns/Row	Variable
Pixel Size	25 m (nominal footprint diameter)

2.1.3 Data Layer Characteristics (GEDI02_A)

Please refer to the Level 2A data dictionary for a complete description of the product attributes, groups, and datasets, including datatype, dimensions, units, source, and short definition/description.

2.2 GEDI Level 2B Canopy Cover and Vertical Profile Metrics Data Global Footprint Level P003

2.2.1 Collection Level (GEDI02_B)

Table 3. Collection Level Data

Characteristic	Description
Collection	GEDI
Short name	GEDI02_B
DOI	10.5067/GEDI/GEDI02_B.002
Temporal Resolution	Varies
Temporal Extent	2019-04-18 – Present
Spatial Extent	Global (51.6 °S to 51.6 °N)
Coordinate System	Geographic (lat/lon)
Datum	WGS84
Geographic Dimensions	4.2 km across-track by one fourth of an ISS orbit along-track
File size	~0.5 GB
File Format	HDF5

2.2.2 Granule Level (GEDI02_B)

Table 4. Granule Level Data

Characteristic	Description
Number of Science Dataset (SDS) Layers	251 per beam
Columns/Row	Variable
Pixel Size	25 m (nominal footprint diameter)

2.2.3 Data Layer Characteristics (GEDI02_B)

Please refer to the Level 2B data dictionary for a complete description of the product attributes, groups, and datasets, including datatype, dimensions, units, source, and short definition/description.

2.3 Unique Shot Identifier

Each shot has a unique shot identifier (shot number) that is available within each data group of the product. The shot number is important to retain in any data sub-setting as it will allow the user to link any shot record back to the original

orbit data, and to link any shot and its data between the L1 and L2 products. The shot number has been updated for Version 2 and is now in the following format:

OOOOOBBRRGNNNNNNNN

where:

OOOOO: Orbit number

BB: Beam number

RR: Reserved for future use

G: Sub-orbit granule number

NNNNNNNN: Shot index

If a data packet is dropped (never received on the ground), NNNNNNNN will not save space for it; however, if we receive a packet with a bad Cyclic Redundancy Check (CRC) error detection, it will be "skipped" in NNNNNNNN in case it can be corrected in later processing.

2.4 File Naming Conventions

GEDI filenames (i.e., the local granule ID) follow a naming convention which gives useful information regarding the specific product/file.

In this example of a Level 02A product, the filename

GEDI02_A_2019108185228_O01971_03_T00922_02_003_01_V002.h5

 indicates:

- **GEDI02_A** = Product Short Name
- **2019108** = Julian Date of Acquisition in YYYYDDD
- **185228** = Hours, Minutes and Seconds of Acquisition (HHMMSS)
- **O01971** = O = Orbit, 01971 = Orbit Number
- **03 =** Sub-Orbit Granule Number (1-4)
- **T00922** = T = Track, 00922 = Track Number
- **02** = Positioning and Pointing Determination System (PPDS) type (00 is predict, 01 rapid, 02 and higher is final.)
- **003** = PGE Version Number
- **01** = Granule Production Version
- **V002** = LP DAAC Release Number

Similarly, in this example of a Level 02B product, the filename

GEDI02_B_2019108002011_O01959_01_T03909_02_003_01_V002.h5

indicates:

- **GEDI02_B** = Product Short Name
- **2019108** = Julian Date of Acquisition in YYYYDDD
- **002011** = Hours, Minutes and Seconds of Acquisition (HHMMSS)
- **O01959** = O = Orbit, 01959 = Orbit Number
- **01 =** Sub-Orbit Granule Number (1-4)
- **T03909** = T = Track, 00922 = Track Number
- **02** = Positioning and Pointing Determination System (PPDS) type (00 is predict, 01 rapid, 02 and higher is final.)
- **003** = PGE Version Number
- **01** = Granule Production Version
- **V002** = LP DAAC Release Number

2.5 File Format

The GEDI products are stored in Hierarchical Data Format version 5 (HDF5), a self-descriptive data file format designed by the National Center for Supercomputing Applications to assist users in the storage and manipulation of scientific data across diverse operating systems and machines.

3   Algorithm Description

3.1 General Geolocation Algorithm Overview

In the L2A and L2B products, the coordinates of first and last samples of the waveform provided in the L1B product are interpolated to specific ranging points in the waveform (e.g., elevation of the lowest mode). Refer to the L1B User Guide for an overview of the geolocation algorithm used to position each laser footprint on the surface. The user is also highly encouraged to refer to the "ATBD for GEDI Waveform Geolocation for L1 and L2 Products" for a complete description of the geolocation algorithm and definitions, and details of the geolocation and geophysical corrections parameters.

3.2 Waveform Processing Algorithm Overview

A digitally recorded return laser pulse, or waveform, represents the time history of the laser pulse as it interacts with the reflecting surfaces. The waveform can have a simple (single-mode) shape similar to that of the outgoing pulse or be complex and multimodal with each mode representing a reflection from an apparently

distinct surface within the laser footprint. Simple waveforms are typical in ocean or bare-ground regions and complex waveforms in rough terrain or vegetated regions. The first and last modes within the waveform are associated with the highest and lowest perceived reflecting surfaces within the footprint, respectively.

The waveform processing algorithms used by GEDI are described in Section 1.4 of the [ATBD for GEDI Transmit and Receive Waveform Processing for L1 and L2 Products](#) and are adapted from methods developed for the analysis of waveforms acquired from NASA's Land, Vegetation and Ice Sensor (LVIS) (Blair et al., 1999). These algorithms enable the derivation of footprint level GEDI Elevation and Height Metrics (the L2A product) and the estimation of the Canopy Cover and Vertical Profile Metrics (the L2B product).

3.3   Elevation and Height Metric Algorithm Overview

Geolocation of the received waveform window is completed in the L1B algorithm. Precise timing points for various surfaces relative to the start of the received waveform are completed in the received waveform analysis. For GEDI L2A geolocation and height products, the precise timing points within each received waveform are geolocated using their computed offset to the start of the received waveform in a linear interpolation of the L1B latitudes, longitudes, and elevations. Height products are subsequently derived by differencing their elevations to the elevation of the lowest detected mode.

The elevation and height metric algorithms used by GEDI are described in Section 5 of the [ATBD for GEDI Transmit and Receive Waveform Processing for L1 and L2 Products](#).

3.4   Cover and Vertical Profile Metric Algorithm Overview

The waveforms provided in the L1B product and locations of reflecting surfaces within the footprint provided in the L2A product are used to derive the directional gap probability profile with the heights of each profile sample computed relative to the elevation of the lowest detected mode. The directional gap probability profile is then used to extract biophysical metrics from each GEDI waveform. These metrics include total and vertical profiles of canopy cover and Plant Area Index (PAI), and the vertical Plant Area Volume Density (PAVD) profile. Foliage Height Diversity (FHD) is also calculated from the PAVD profile.

The cover and vertical profile algorithms used by GEDI are described in Sections 2 and 3 of the [ATBD for GEDI L2B Footprint Canopy Cover and Vertical Profile Metrics](#).

4   <u>Metadata</u>

GEDI products have two sources of metadata: the embedded HDF5 metadata and the external ECS metadata. The HDF metadata contains valuable information including global attributes and dataset specific attributes pertaining to the granule. The ECS (generated by the EOSDIS Core System) .met file is the external metadata file in XML format, which is delivered to the user along with the GEDI product. It provides a subset of the HDF metadata.

The Data Set attributes contain specific SDS information such as the data range and applicable scaling factors for the data. The LP DAAC data products page provides these details within a concise document for each of the products. An HDF5 file also contains core metadata essential for search services. It is difficult for a standard HDF call to interpret HDF5 geolocation or temporal information without further knowledge of the file structure.

5   <u>Frequently Asked Questions</u>

How do I cite the data?

The following citation information is applicable for the GEDI02_A.002 and GEDI02_B.002 products:

Dubayah, R., Hofton, M., J. B. Blair, Armston, J., Tang, H., Luthcke, S. (2021). GEDI L2A Elevation and Height Metrics Data Global Footprint Level V002 [Data set]. NASA EOSDIS Land Processes DAAC. Accessed YYYY-MM-DD from https://doi.org/10.5067/GEDI/GEDI02_A.002.

Dubayah, R., Tang, H., Armston, J., Luthcke, S., Hofton, M., J. B. Blair (2021). GEDI L2B Canopy Cover and Vertical Profile Metrics Data Global Footprint Level V002 [Data set]. NASA EOSDIS Land Processes DAAC. Accessed YYYY-MM-DD from https://doi.org/10.5067/GEDI/GEDI02_B.002.

What science datasets does the Global Ecosystem Dynamics Investigation Level 2A product contain?

The L2A product contains information derived from the geolocated GEDI return waveforms, including ground elevation, highest and lowest surface return elevations, energy quantile heights ("relative height" metrics), and other waveform-derived metrics describing the intercepted surface.

The detailed product contents are defined in the [GEDI L2A Product Data Dictionary](#).

What science datasets does the Global Ecosystem Dynamics Investigation Level 2B product contain?

The L2B product contains biophysical information derived from the geolocated GEDI return waveforms including total and vertical profiles of canopy cover and Plant Area Index (PAI), the vertical Plant Area Volume Density (PAVD) profile, and Foliage Height Diversity (FHD).

The detailed product contents are defined in the [GEDI L2B Product Data Dictionary](#).

How do I extract elevation and height metrics for alternative algorithm setting groups in L2A?

Only the suggested result for each laser footprint is stored in the root group of the L2A product for each beam.

Elevation and height metrics outputs for algorithm setting groups 1 to 6 can be found in the geolocation subgroup of the L2A data product. For example, elev_lowestreturn_a<n> is the elevation of lowest return detected using algorithm setting group <n>, relative to reference ellipsoid; and rh_a<n> are the relative height metrics at 1% intervals using algorithm <n> (in cm). See Section 5 of the ATBD for GEDI Waveform Geolocation for L1 and L2 Products for additional details. Starting with release 2 of the data, the elevation and height metrics stored in the root group of the L2A product have been selected on a per footprint basis from algorithm setting groups 1 to 6 based on the characteristics of the waveform and it's regional location. The setting is contained in the selected_algorithm parameter in the root group. Note that a selected_algorithm value of 10 indicates Algorithm setting 5 has been used but that the lowest detected mode in this algorithm is likely a noise detection and that a higher mode has been subsequently used to calculate the elevation and height metrics for this footprint. Users wishing to reconstruct the derivation of the results for selected_algorithm = 10 only inside the root group can use the following:

elev_lowestmode = geolocation/elev_allmodes_a5(selected_mode)

rh = geolocation/rh_a5/100. – (elev_lowestmode – geolocation/elev_lowestmode_a5)

How do I extract cover and vertical profile metrics for alternative algorithm setting groups in L2B?

Only the suggested result for each laser footprint is stored in the root group of the L2B product for each beam. The suggested result corresponds to the L2A algorithm setting group set in /BEAMXXX/selected_l2a_algorithm (see L2 Algorithm Setting Groups in Section 6 below).

In contrast to the L2A data, only a select set of L2B algorithm outputs is stored for each L2A algorithm setting group. These outputs can be found in the /BEAMXXXX/rx_processing subgroup and include the directional gap probability (pgap_theta_a<n>), canopy (rv_a<n>) and ground (rg_a<n>) waveform integrals, and the results of the extended Gaussian fit to the ground waveform (rg_eg_*_a<n>), where <n> is the algorithm setting group <n> (see Table 5).

These outputs enable rapid recalculation of L2B vertical profiles for different L2A algorithm setting groups. Examples of this recalculation are being prepared by the GEDI Science Team as Python Jupyter Notebooks.

6 <u>Quality and Important Notes</u>

L2A and L2B algorithm results are available for every shot with a valid waveform; however, we recommend the following guidelines for selecting "best" data:

1. Do not expect the GEDI coverage beams to penetrate dense forest. The GEDI coverage beams were only designed to penetrate canopies of up to 95% canopy cover under "average" conditions, so users should preference use of GEDI power beams in this case.
2. Use GEDI data acquired at night (solar_elevation < 0) where possible. The negative impact of background solar illumination on GEDI waveform quality is eliminated during this time.
3. Use the sensitivity metric available in L2A and L2B to select "best" data. The L2A and L2B quality_flag datasets (see below) use a conservative sensitivity threshold of 0.9 over land (0.5 over ocean), but under some conditions (e.g. dense forest) the user may benefit from selecting a higher threshold.

Interpretation of L2A Quality Flag

In order to provide end users with the ability to easily remove erroneous and/or lower quality returns, we provide a quality_flag. This is a summation of several individual quality assessment parameters and is intended to provide general guidance only. A quality_flag value of 1 indicates the laser shot meets criteria based on energy, sensitivity, amplitude, real-time surface tracking quality and difference to a DEM.

Interpretation of L2B Quality Flag

In order to provide end users with the ability to easily remove erroneous and/or lower quality returns, and returns not corresponding to the land surface, we provide a quality_flag based on the outputs of the selected L2A algorithm settings group. Results are available for every shot with a valid waveform.

As done for L2A, quality_flag is a summation of several individual quality assessment parameters and other flags and is intended to provide general guidance only. A quality_flag value of 1 indicates the cover and vertical profile metrics represent the land surface and meet criteria based on waveform shot energy, sensitivity, amplitude, and real-time surface tracking quality, and the quality of extended Gaussian fitting to the lowest mode.

New land cover datasets are used to assign quality_flag values of 0 to shots over open water or urban infrastructure. Users wishing to modify or understand the filtering of shots over open water and urban infrastructure can replicate the filtering used in the L2B quality flag filtering with:

- land_cover_data/urban_proportion < 50
- land_cover_data/landsat_water_persistence < 10

Interpretation of RH Metrics

The L2A data product provides relative height (RH) metrics, which are "lidar perceived" metrics that have the following characteristics:

1. RH100 = elev_highestreturn - elev_lowestmode
2. The RH metrics are intended for vegetated surfaces. Results over bare/water surfaces are still valid but may present some confusing results.
3. The lower RH metrics (e.g., RH10) will often have negative values, particularly in low canopy cover conditions. This is because a relatively high fraction of the waveform energy is from the ground and below elev_lowestmode. For example, if the ground return contains 30% of the energy, then RH1 through 15 are likely to be below 0 since half of the ground energy from the ground return is below the center of the ground return, which is used to determine the mean ground elevation in the footprint (elev_lowestmode).

L2 Algorithm Setting Groups

Table 5 outlines the L2A algorithm group settings used to interpret each waveform. Additional setting groups are designed to provide information in cases where less

than optimal observing conditions were experienced, for example low energy ground reflections or higher background noise. Condition cases that may be experienced include:

- The lowest selected mode has triggered on noise, thus elev_lowestmode will be below the actual ground surface. An algorithm with a higher signal end threshold setting or mode filtering applied may be more appropriate.
- The lowest selected mode falls above the actual ground surface: energy from the ground surface may be weak. An algorithm with a lower signal end threshold setting may be more appropriate.
- Highest detected return is below the canopy top. An algorithm with a lower signal start threshold setting may be more appropriate.Highest detected return is above the canopy top (cloud and fog are examples for this condition). An algorithm with a higher signal start threshold setting may be more appropriate.

The geolocation (latitude, longitude, elevation) of all detected modes are provided for each waveform for each algorithm group setting (lat/lon/elevs_allmodes_a<n>) allowing the end user the flexibility to reselect an appropriate mode for their study.

Starting with release 2 of the data, the elevation and height metrics stored in the root group of the L2A product have been selected on a per footprint basis from algorithm setting groups 1 to 6. The setting is contained in the selected_algorithm parameter in the root group. Note that a selected_algorithm value of 10 indicates Algorithm setting 5 has been used but that the lowest detected mode in this algorithm is likely a noise detection and that a higher mode has subsequently been used to calculate the elevation and height metrics for this footprint. Users wishing to reconstruct/understand the derivation of the results for selected_algorithm = 10 from Algorithm Setting 5 can use the following:

$$elev\_lowestmode = geolocation/elev\_allmodes\_a5(selected\_mode)$$

$$rh = geolocation/rh\_a5/100. - (elev\_lowestmode - geolocation/elev\_lowestmode\_a5)$$

A similar mode selection approach is also applied in Algorithm setting 2. Mode selection criteria are designed to distinguish noise from signal and are based on amplitude, energy or range. Lowest modes not meeting these critera are considered noise and a higher occurring mode is consequentially selected.

An algorithm based on plant functional type, geographic region and laser return energy is used to predict the optimum algorithm setting group per laser shot. However, custom selection of algorithm setting groups by users of the L2A and L2B data products may lead to improved results in local areas.

Table 5. L2A algorithm setting groups. σ represents the standard deviation of the background noise level.

Setting group	Smoothing width (noise)	Smoothing width (signal)	Waveform signal start threshold	Waveform signal end threshold	Mode filtering applied
1	6.5σ	6.5σ	3σ	6σ	No
2	6.5σ	3.5σ	3σ	3σ	Yes
3	6.5σ	3.5σ	3σ	6σ	No
4	6.5σ	6.5σ	6σ	6σ	No
5	6.5σ	3.5σ	3σ	2σ	No
6	6.5σ	3.5σ	3σ	4σ	No
10	6.5σ	3.5σ	3σ	2σ	Yes

For complete and updated information regarding product quality, see the GEDI Mission Website.

7 Changes from Previous Version

7.1 GEDI Level 2A Changes

Version 2 includes the following changes:

- Spatial metadata allows visualizing orbit tracks and spatial querying in NASA Earthdata Search

- Browse images now available in NASA Earthdata Search

- Improved geolocation: V002 mean 1-sigma horizontal geolocation error is 10.3 m with 95% of the mission weeks having 1-sigma geolocation error less than 11.9 m. In comparison V001 mean 1-sigma horizontal geolocation error is 20.9 m with 95% of the mission weeks having 1-sigma geolocation error less than 25.3 m (from the analysis of MWs 19 through 45).

- Added elevation from the SRTM digital elevation model for comparison.

- Modified the method to predict an optimum algorithm setting group per laser shot.

- Added additional land cover datasets related to phenology, urban infrastructure, and water persistence.

- Added selected_mode_flag dataset to root beam group using selected algorithm

- Removed shots when the laser is not firing

- Modified file name

  Each orbit is divided into 4 sub-orbit granules, computed based on time.

  - From the orbit start time (the time on the filename), each 23.17-minute section is grouped.

  - If shots occur after 92.68 (23.17*4) minutes, they are assigned to sub-orbit granule 4.

  - Major frames are kept together, so if a major frame spans the boundary between granules all shots in that major frame are assigned to the earlier granule.

  - Individual sub-orbit granule files may be missing for an orbit if there is insufficient data in the sub-orbit granule.

  Group: /BEAMXXXX

  - Changed /BEAMXXXX/shot_number to include sub-granule number and remove minor frame number.

  - Added dataset: /BEAMXXXX/digital_elevation_model_srtm

  - Changed /BEAMXXXX/degrade_flag from a binary degraded (1) or not degraded (0) value to include specific flags for each degraded condition: Non-zero values indicate the shot occurred during a degraded period. A non-zero tens digit indicates degraded attitude, a non-zero units digit indicates a degraded trajectory. Details are in the table below.

Table 6. L2A Degrade Conditions

Flag	Degrade Condition
3X	ADF CHU solution unavailable (ST-2)
4X	Platform attitude
5X	Poor solution (filter covariance large)
6X	Data outage (platform attitude gap also)
7X	ST 1+2 unavailable (similar boresight FOV)
8X	ST 1+2+3 unavailable

9X	ST 1+2+3 and ISS unavailable
X1	Maneuver
X2	GPS data gap
X3	ST blinding
X4	Other
X5	GPS receiver clock drift
X6	Maneuver & GPS receiver clock drift
X7	GPS data gap & GPS receiver clock drift
X8	ST blinding & GPS receiver clock drift
X9	Other & GPS receiver clock drift

- Added dataset: /BEAMXXXX/selected_mode_flag

- Fixed /BEAMXXXX/delta_time units attribute

- Added dataset: /BEAMXXXX/selected_mode_flag

- Fixed /BEAMXXXX/delta_time units attribute

Group: /BEAMXXXX/geolocation

- Changed /BEAMXXXX/geolocation/shot_number to include sub-granule number and remove minor frame number.

Group: /BEAMXXXX/land_cover_data

- Added dataset: /BEAMXXXX/land_cover_data/landsat_water_persistence

- Added dataset: /BEAMXXXX/land_cover_data/leaf_off_doy

- Added dataset: /BEAMXXXX/land_cover_data/leaf_off_flag

- Added dataset: /BEAMXXXX/land_cover_data/leaf_on_cycle

- Added dataset: /BEAMXXXX/land_cover_data/leaf_on_doy

- Added dataset: /BEAMXXXX/land_cover_data/pft_class

- Added dataset: /BEAMXXXX/land_cover_data/region_class

- Added dataset: /BEAMXXXX/land_cover_data/urban_focal_window_size

- Added dataset: /BEAMXXXX/land_cover_data/urban_proportion

Group: /BEAMXXXX/rx_assess

- Changed /BEAMXXXX/rx_assess/shot_number to include sub-granule number and remove minor frame number.

Group: /BEAMXXXX/rx_processing_aN

- Changed /BEAMXXXX/rx_processing_aN/shot_number to include sub-granule number and remove minor frame number.

7.2 GEDI Level 2B Changes

Version 2 includes the following changes:

- Spatial metadata allows visualizing orbit tracks and spatial querying in [NASA Earthdata Search](#)

- Browse images now available in [NASA Earthdata Search](#)

- Improved geolocation: V002 mean 1-sigma horizontal geolocation error is 10.3 m with 95% of the mission weeks having 1-sigma geolocation error less than 11.9 m. In comparison V001 mean 1-sigma horizontal geolocation error is 20.9 m with 95% of the mission weeks having 1-sigma geolocation error less than 25.3 m (from the analysis of MWs 19 through 45).

- Added elevation from the SRTM digital elevation model for comparison.

- Modified the method to predict an optimum algorithm setting group per laser shot.

- Added additional land cover datasets related to phenology, urban infrastructure, and water persistence.

- Added selected_mode_flag dataset to root beam group using selected algorithm

- Removed shots when the laser is not firing.

- Modified file name

  Each orbit is divided into 4 sub-orbit granules, computed based on time.

- From the orbit start time (the time on the filename), each 23.17-minute section is grouped.

- If shots occur after 92.68 (23.17*4) minutes, they are assigned to sub-orbit granule 4.

- Major frames are kept together, so if a major frame spans the boundary between granules all shots in that major frame are assigned to the earlier granule.

- Individual sub-orbit granule files may be missing for an orbit if there is insufficient data in the sub-orbit granule.

Group: /BEAMXXXX

- Changed /BEAMXXXX/shot_number to include sub-granule number and remove minor frame number.

- Added dataset: /BEAMXXXX/selected_mode

- Added dataset: /BEAMXXXX/selected_mode_flag

- Changed /BEAMXXXX/l2b_quality_flag definition.

Group: /BEAMXXXX/geolocation

- Changed /BEAMXXXX/geolocation/shot_number to include sub-granule number and remove minor frame number.

- Changed /BEAMXXXX/geolocation/degrade_flag from a binary degraded (1) or not degraded (0) value to include specific flags for each degraded condition: Non-zero values indicate the shot occurred during a degraded period. A non-zero tens digit indicates degraded attitude, a non-zero ones digit indicates a degraded trajectory. Details are in the table below.

Table 7. L2B Degrade Conditions

Flag	Degrade Condition
3X	ADF CHU solution unavailable (ST-2)
4X	Platform attitude
5X	Poor solution (filter covariance large)
6X	Data outage (platform attitude gap also)
7X	ST 1+2 unavailable (similar boresight FOV)

8X	ST 1+2+3 unavailable
9X	ST 1+2+3 and ISS unavailable
X1	Maneuver
X2	GPS data gap
X3	ST blinding
X4	Other
X5	GPS receiver clock drift
X6	Maneuver & GPS receiver clock drift
X7	GPS data gap & GPS receiver clock drift
X8	ST blinding & GPS receiver clock drift
X9	Other & GPS receiver clock drift

Group: /BEAMXXXX/land_cover_data

- Added dataset: /BEAMXXXX/land_cover_data/landsat_water_persistence

- Added dataset: /BEAMXXXX/land_cover_data/leaf_off_doy

- Added dataset: /BEAMXXXX/land_cover_data/leaf_off_flag

- Added dataset: /BEAMXXXX/land_cover_data/leaf_on_cycle

- Added dataset: /BEAMXXXX/land_cover_data/leaf_on_doy

- Added dataset: /BEAMXXXX/land_cover_data/pft_class

- Added dataset: /BEAMXXXX/land_cover_data/region_class

- Added dataset: /BEAMXXXX/land_cover_data/urban_focal_window_size

- Added dataset: /BEAMXXXX/land_cover_data/urban_proportion

Group: /BEAMXXXX/rx_processing

- Changed /BEAMXXXX/rx_processing/shot_number to include sub-granule number and remove minor frame number.

8 Known Issues

There are numerous time periods where the geolocation performance suffers due to non-optimal operating conditions. The "degrade" flag should be understood as

a general indicator of a potential issue. These orbit degradation periods are discussed in more detail in the L1B User Guide.

In some conditions (e.g. high canopy cover), the default algorithm setting selection may be suboptimal. All pending updates to L2A algorithm setting group selection are globally optimized to improve global product performance. For specific sites, the user is encouraged to check the alternative output parameters in the geolocation subgroup.

The surface classification is currently made at the shot level using the TanDEM-X DEM. Valid surface waveforms in some high elevation regions (e.g. Himalayas) may have the surface_flag parameter incorrectly set to 0. Additionally, the TanDEM-X DEM is also used to separate ocean and land surface waveforms at the footprint level for the L2B quality_flag, which may cause misclassification in some coastal areas.

A known ranging issue affect the absolute elevations of two of the eight beams (BEAM0000 and BEAM0001) prior to February 6, 2020. Please see the L1B User Guide for more information.

9   <u>Dataset Access</u>

The GEDI02_A and GEDI02_B products are available through the <u>LP DAAC Data Pool</u> and <u>NASA Earthdata Search</u>.

10   <u>Contact Information</u>

LP DAAC User Services
U.S. Geological Survey (USGS) Earth Resources Observation and Science (EROS) Center
47914 252nd Street Sioux Falls, SD 57198-0001
Phone Number: 605-594-6116
Toll Free: 866-573-3222 (866-LPE-DAAC)
Email: <u>lpdaac@usgs.gov</u>
Web: <u>https://lpdaac.usgs.gov</u>

GEDI Science Team Contact: Ralph Dubayah (PI), Professor of Geographical Sciences at the University of Maryland: dubayah@umd.edu

11 <u>Citations</u>

Dubayah, R., Hofton, M., J. B. Blair, Armston, J., Tang, H., Luthcke, S. (2021). GEDI L2A Elevation and Height Metrics Data Global Footprint Level V002 [Data set]. NASA EOSDIS Land Processes DAAC. Accessed YYYY-MM-DD from https://doi.org/10.5067/GEDI/GEDI02_A.002.

Dubayah, R., Tang, H., Armston, J., Luthcke, S., Hofton, M., J. B. Blair (2021). GEDI L2B Canopy Cover and Vertical Profile Metrics Data Global Footprint Level V002 [Data set]. NASA EOSDIS Land Processes DAAC. Accessed YYYY-MM-DD from https://doi.org/10.5067/GEDI/GEDI02_B.002.

12 <u>Publications, References and Resources</u>

The following publications are the best starting point for users to obtain more detail on the GEDI mission and development of the Elevation and Height Metrics Data and Canopy Cover and Vertical Profile Metrics Data products:

Blair, J.B., Rabine, D. L., Hofton, M. A. (1999). The Laser Vegetation Imaging Sensor: a medium-altitude, digitisation-only, airborne laser altimeter for mapping vegetation and topography. ISPRS J. Photogramm. Remote Sens. 54, 115–122.

Dubayah, R. O., Blair, J. B., Goetz, S. J., Fatoyinbo, L., Hansen, M. C., Healey, S. P., Hofton, M., Hurtt, G., Kellner, J. R., Luthcke, S., Armston, J., Tang, H., Duncanson, L., Hancock, S., Jantz, P., Marselis, S., Patterson, P., Qi, W., Silva, C. (2020). The Global Ecosystem Dynamics Investigation: High-resolution laser ranging of the Earth's forests and topography. Science of Remote Sensing (in press).

Hofton et al. (2021). ATBD for GEDI Transmit and Receive Waveform Processing for L1 and L2 Products.

Luthcke et al. (2021). ATBD for GEDI Waveform Geolocation for L1 and L2 Products

Tang and Armston (2021). GEDI ATBD for Footprint Canopy Cover and Vertical Profile Metrics.

12.1 Websites and Data Portals

The GEDI Mission maintains a website at: https://gedi.umd.edu/.

The GEDI_02A and 02B products are available through the LP DAAC Data Pool and NASA Earthdata Search.

12.2 Additional Resources

The GEDI L1B data dictionary can be found at:
https://lpdaac.usgs.gov/documents/981/gedi_l1b_dictionary_P003_v2.html

The GEDI L2A data dictionary can be found at:
https://lpdaac.usgs.gov/documents/982/gedi_l2a_dictionary_P003_v2.html

The GEDI L2B data dictionary can be found at:
https://lpdaac.usgs.gov/documents/980/gedi_l2b_dictionary_P003_v2.html